



PATENT APPLICATION

ATTORNEY DOCKET NO. CRT044UT

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

2131

Applicant(s):

Triola, C.R.

Serial No.:

09/833390

Examiner:

Filing Date:

04/011/01

Group Art Unit:

2131

Title:

Method and Apparatus for Processing Escrow Transactions

THE COMMISSIONER OF PATENTS & TRADEMARKS
Washington, D.C. 20231INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- () under 37 CFR 1.97 (b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- () under 37 CFR 1.97 (c) together with either a:
() Certification under 37 CFR 1.97(e), or
() a \$210.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
() Certification under 37 CFR 1.97 (e), and
() a petition under 37 CFR 1.97 (d)(2)(ii), and
() a \$130.00 petition fee set forth in 37 CFR 1.17 (l)(1).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account _____ the sum of _____. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account pursuant to 37 CFR 1.25.

() Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,
C. R. TriolaBy Eugene H. Valet

Eugene H. Valet

Attorney/Agent for Applicant(s)
Reg. No. 31435

Date: 10/4/01

206-933-7438

Telephone No.:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date of Deposit: 10/5/01

Typed Name: Eugene H. Valet

Signature: Eugene H. Valet